



Image 1763

PATENT  
Attorney Docket No.: SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 1763  
Maximilian A. Biberger et al. )  
Serial No.: 09/704,641 ) Examiner: Ram N. Kackar  
Filed: November 1, 2000 )  
For: **METHOD AND APPARATUS FOR**  
**SUPERCritical PROCESSING**  
**OF A WORKPIECE** ) **TRANSMITTAL LETTER**  
                                  ) 162 N. Wolfe Road  
                                  ) Sunnyvale, CA 94086  
                                  ) (408) 530-9700  
                                  ) Customer No.: 28960

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 CFR § 1.97(e) for Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 12-10-03

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

- 1 -

HAVERSTOCK & OWENS LLP

Date: 12-11-03 By:



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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

The citation listed below, copy attached, may be material to the examination of the above-identified application, and is therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

Applicant has become aware of the following printed publication which may be material to the examination of this application:

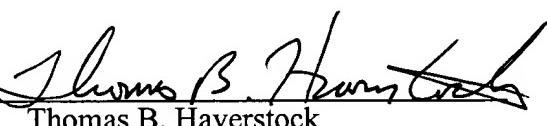
- U.S. Patent No. 5,672,204;
  - U.S. Patent No. 5,817,178;
  - U.S. Patent No. 6,508,259 B1; and
  - Japanese Patent Abstract JP 61-017151.

**PATENT**  
Attorney Docket No.: SSI-00700

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within the Official Actions mailed on October 31, 2003 and August 26, 2003. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 12 - 10 - 03

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

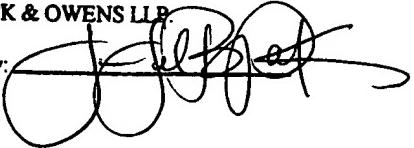
Attorneys for Applicants

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HAVERSTOCK & OWENS LLP.

Date: 12 - 11 - 03 By: 

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SSI-00700		Serial No.: 09/704,641		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(See Remarks If Necessary)</small> <small>(37 CFR § 1.98(b))</small>				Applicants: Maximilian A. Biberger et al.				
				Filing Date: November 1, 2000		Group Art Unit: 1763		
U.S. PATENT DOCUMENTS								
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patente	Class	Subclass	Filing Date	
	AA	5,672,204	09/30/97	Habuka	117	204	04/25/96	
	AB	5,817,178	10/06/98	Mita et al.	118	666	05/29/96	
	AC	6,508,259 B1	01/21/03	Tseronis et al.	134	105	08/04/00	
	AD							
	AE							
	AF							
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS								
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AG	61-017151	01/25/86	Japan	G03G	5/082	X	
	AH							
	AI							
	AJ							
	AK							
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							
	AR							
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	AS							
	AT							
	AU							
	AV							
	AW							
	AX							
	AY							
Examiner:				Date Considered:				
EXAMINER:		Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						